

**SELECT COMMITTEE ON
INTELLIGENCE**

UNITED STATES SENATE



**QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES**

REVISED: APRIL 2011

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PART A - BIOGRAPHICAL INFORMATION

1. NAME: ELLEN ELIZABETH MCCARTHY
OTHER NAMES USED: ELLEN HANNAH (sometimes addressed at my children's school)
2. DATE AND PLACE OF BIRTH: 04 JUNE 1962 ALEXANDRIA, VIRGINIA
CITIZENSHIP: UNITED STATES
3. MARITAL STATUS: MARRIED
4. SPOUSE'S NAME: GORDON LEONARD HANNAH, JR
5. SPOUSE'S MAIDEN NAME IF APPLICABLE:
6. NAMES AND AGES OF CHILDREN:

NAME

AGE

INFORMATION REDACTED

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
MONTGOMERY COLLEGE	1980-1982	NA	NA
UNIV OF S. CAROLINA	1982-1984	BA	1984
UNIV OF MARYLAND	1986-1988	MPM	1988

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
CAPITAL NEWSPAPER	CIRCULATION MGT/REPORTER	ANNAPOLIS, MD	1984-1986
NAVAL HISTORIC CENTER	SUMMER INTERN	WASHINGTON DC	1986

INSTITUTE FOR DEFENSE ANALYSES RESEARCH ANALYST ALEXANDRIA, VA 1986-1988

OFFICE NAVAL INTELLIGENCE (FORMERLY NAVY TECHNICAL/OPERATIONAL INTELLIGENCE CENTER)

INTELLIGENCE RESEARCH SPECIALIST	SUITLAND, MD	1988-1991
U.S. ATLANTIC COMMAND INTELLIGENCE ANALYST	NORFOLK, VA	1991-1998
U.S. COAST GUARD INTELLIGENCE (CG2) POLICY/OPERATIONS	WASHINGTON DC	1998-2003
OFFICE OF UNDERSEC DEF INTELLIGENCE DIRECTOR	PENTAGON	2003-2009
INTELLIGENCE AND NATIONAL SECURITY ALLIANCE PRESIDENT	ARLINGTON, VA	2009-2012
NATIONAL GEOSPATIAL INTELLIGENCE AGENCY COO	SPRINGFIELD, VA	2012-2015
NOBLIS-NSP	PRESIDENT	FALLS CHURCH, VA 2015-2018
NOBLIS	VICE PRESIDENT	RESTON, VA 2018 - PRESENT

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

UNPAID CONSULTANT TO THE UNDERSECRETARY DEFENSE INTELLIGENCE 2009-2012

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

- INTELLIGENE ANALYSIS
- INTELLIGENCE OPERATIONS
- INTELLIGENCE OVERSIGHT
- PROGRAM MANAGEMENT
- INTELLIGENCE POLICY
- HUMAN CAPITAL MANAGEMENT
- ACQUISTIONS
- BUDGET/RESOURCES
- LEGISLATIVE AFFAIRS
- PRIVATE SECTOR PARTNERSHIP
- INNOVATION/R&D

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

Numerous performance awards/Special Act Awards and Letters of Appreciation/Commendation

USCG Commandant Superior Achievement Award, USCG, 2004

National Intelligence Superior Service Medal, 2008

Secretary of Defense Meritorious Civilian Service Medal, 2008

FedSCOOP 50 Award, Fed Mentor of the Year, 2014

Presidential Rank Award, Meritorious, 2015

WashingtonExec Top 30 Execs to Watch 2016

GOVCON WASH 100 for Big Data and Geospatial Leadership, 2017

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
NAVAL INTELLIGENCE PROFESSIONALS BOARD OF DIRECTORS		2001-2004
BLESSED SACRAMENT PTO	PRESIDENT	2012-2014
ADVANCED TECHNICAL INTELLIGENCE CENTER BOARD OF DIRECTORS		2012-2013
INTELLIGENCE AND NATIONAL SECURITY ALLIANCE BOARD OF DIRECTORS		2018-PRESENT
GEORGE MASON NATIONAL SECURITY INSTITUTE BOARD OF ADVISORS		2017 - PRESENT

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO.) IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

Contributed to numerous INSA White Papers to include:

- A. IMPROVING THE ACQUISITION OF SERVICES IN THE INTELLIGENCE COMMUNITY, 2017
- B. SMART CHANGE II: PREPARING THE INTELLIGENCE COMMUNITY WORKFORCE FOR AN EVOLVING THREAT AND FISCAL REALITY
- C. STRATEGIC CYBER INTELLIGENCE
- D. SMART CHANGE: LESSONS OF THE PAST, DIRECTION FOR THE FUTURE
- E. ORGANIZATIONAL CONFLICTS OF INTEREST: AN ANALYSIS OF PUBLIC PRIVATE PERSPECTIVES
- F. COUNTERINTELLIGENCE FOR THE 21ST CENTURY

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

I BELIEVE I AM QUALIFIED TO SERVE THIS POSITION FOR THE FOLLOWING REASONS:

- I AM OUTCOME FOCUSED. I HAVE A PROVEN AND MEASUREABLE TRACK RECORD OF OUTSTANDING PERFORMANCE IN THE PUBLIC AND PRIVATE SECTOR;
- I AM PARTICULARLY STRONG AT BUILDING AND LEADING HIGH PERFORMING TEAMS;
- I AM PASSIONATE ABOUT THE MISSION OF THE STATE DEPARTMENT AND THE INTELLIGENCE COMMUNITY AND POSSESS A DRIVE TO SERVE;
- I HAVE A BROAD COMBINATION OF SKILLS AND EXPERIENCE IN THE PUBLIC AND PRIVATE SECTOR TO LEAD WHICH INCLUDE ALL SOURCE ANALYSIS, PROGRAM MANAGEMENT, INTELLIGENCE POLICY, HUMAN CAPITAL, BUDGET, INTELLIGENCE OPERATIONS, ACQUISITION, ETC.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

NONE

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

NONE

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

NO

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

NO

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

NO

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

NO

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

NONE

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

NONE

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

YES

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

NO ARRANGEMENTS HAVE BEEN MADE. I PLAN TO SEPARATE FROM MY CURRENT POSITION AND WILL FORFEIT ANY POTENTIAL BONUS OR OTHER FORMS OF COMPENSATION PENDING CONFIRMATION.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

NONE

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I HAVE NO PLANS (AND NO AGREEMENTS) FOLLOWING GOVERNMENT SERVICE

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

NOT IN GOVERNMENT SERVICE

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

YES

DELOITTE & TOUCHE, LLC

PRINCIPAL

2009 - PRESENT

HUSBAND'S POSITION IS NOT RELATED TO THE POSITION FOR WHICH I HAVE BEEN NOMINATED.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
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NONE

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

NONE

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE

OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

DESCRIPTION OF PROPERTY VALUE METHOD OF VALUATION

PROVIDED IN OGE DISCLOSURE FORMS

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

NATURE OF OBLIGATION NAME OF OBLIGEE AMOUNT

PROVIDED IN OGE DISCLOSURE FORMS30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

NO

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

INFORMATION REDACTED

INFORMATION REDACTED

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

YES

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

VIRGINIA
CALIFORNIA (AS REQUIRED BY MY HUSBAND'S COMPANY)

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

MY HUSBAND AND I WERE THE SUBJECT OF A LIEN IN 2017. THIS LIEN WAS THE RESULT OF AN INCOME TAX PAYMENT THAT WAS IMPROPERLY APPLIED TO THE WRONG CALENDAR YEAR, 2015 VICE 2014, AND ALSO RESULTED IN A LATE FEE. I ONLY LEARNED OF THIS ERROR WHEN MY SECURITY CLEARANCE WAS BEING UPDATED LAST YEAR. THIS WAS REMEDIED WHEN IT CAME TO OUR ATTENTION. I WILL PROVIDE A COPY OF THE FORM 668 WHICH PROVES RELEASE OF THE LIEN AND CONFIRMS THAT THE MATTER WAS RESOLVED. MY HUSBAND'S EMPLOYER, DELOITTE AND TOUCHE, LLP, HAS PREPARED OUR TAX RETURNS FOR THE LAST TEN YEARS. AN EXTENSION IS FILED EVERY YEAR AND WE FILE OUR RETURN BEFORE THE END OF THE EXTENSION PERIOD.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

NONE

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

NO

ALL PERSONEL FINANCES SHOULD BE COMPLIANT, IF NOT, WE WILL ACCOMMODATE ANY RESTRICTION.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

OGE FORMS LAST THREE YEARS OF GOVERNMENT SERVICE (2013-2016)
I WILL PROVIDE COPIES IF REQUESTED

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.

NO

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

NO

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

NO

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

NO

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

NO

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

NONE

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

NO

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

NO

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

YES. CI OR NATIONAL SECURITY POLYGRAPH AS REQUIRED BY THE POSITION

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

NO

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH, AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

CONGRESSIONAL OVERSIGHT OF THE U.S. INTELLIGENCE COMMUNITY REFERS TO THE VITAL ROLE CONGRESS PLAYS IN BUDGET AUTHORIZATION AND APPROPRIATIONS; RIGOROUS REVIEW OF INTELLIGENCE ACTIVITIES AND OPERATIONS; AND ENSURING THE IC OPERATES WITHIN THE CONFINES OF THE LAW.

THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH (INR) IS THE HEAD OF THE BUREAU OF INR WITHIN THE DEPARTMENT OF STATE. IT'S PRIMARY MISSION IS TO LEVERAGE ALL SOURCES OF INTELLIGENCE TO SUPPORT STATE DEPARTMENT POLICYMAKERS, FOREIGN POLICY AND NATIONAL SECURITY OPERATORS AND EXECUTIVE BRANCH OVERSIGHT WITHIN THE STATE DEPARTMENT FOR SENSITIVE GLOBAL COUNTERINTELLIGENCE AND LAW ENFORCEMENT ACTIVITIES.

THE RELATIONSHIP OF THE ASSISTANT SECRETARY INR AND CONGRESSIONAL OVERSIGHT IS PARTICULARLY IMPORTANT GIVEN THE STATE DEPARTMENT'S ROLE IN THE OVERSIGHT OF ALL U.S. GOVERNMENT ACTIVITIES OVERSEAS, INR COORDINATES ACTIVITIES RELATES TO INTELLIGENCE, SECURITY, COUNTERINTELLIGENCE, INVESTIGATIVE AND SPECIAL OPERATIONS. THIS SHARED RESPONSIBILITY IS ONE OF THE MOST CRITICAL CHECKS AND BALANCES ACROSS GOVERNMENT AND ENSURES THAT STATE INR REMAINS ACCOUNTABLE FOR ITS ACTIONS.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH.

RESPONSIBILITIES OF THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH (INR) INCLUDE:

- HEAD OF THE BUREAU OF INR WITHIN U.S. DEPARTMENT OF STATE;
- LEAD AND DEVELOP INR STAFF, A CRITICAL RESOURCE FOR U.S. NATIONAL SECURITY;
- SERVE AS THE PRINCIPLE INTELLIGENCE ADVISOR TO THE SECRETARY OF STATE;
- REPRESENT THE DEPARTMENT OF STATE WITHIN THE INTELLIGENCE COMMUNITY;
- PROVIDE SENIOR POLICYMAKERS, DIPLOMATS AND OTHER CONSUMERS OF INTELLIGENCE WITH TIMELY, INDEPENDENT AND RELEVANT ALL SOURCE INTELLIGENCE ANALYSIS;
- OVERSEE GLOBAL INTELLIGENCE AND SENSITIVE INTELLIGENCE-RELATED LAW ENFORCEMENT ACTIVITIES AND ENSURE THAT THEY ARE CONSISTENT AND SUPPORT US FOREIGN POLICY AND NATIONAL SECURITY OBJECTIVES;
- DEVELOP AND STRENGTHEN PUBLIC, PRIVATE AND ACADEMIC SECTOR OUTREACH.

AFFIRMATION

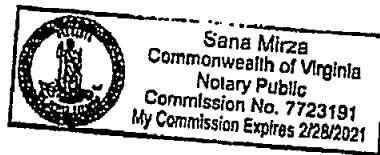
I, ELLEN ELIZABETH MCCARTHY, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

SIGNATURE OF ELLEN McCARTHY

DATED: JUNE 29, 2018

SIGNATURE OF NOTARY

DATED: JUNE 29, 2018



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be Assistant Secretary of State for Intelligence and Research, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

SIGNATURE OF ELLEN McCARTHY

DATE: JUNE 29, 2018