

SELECT COMMITTEE ON
INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

REVISED: MARCH 2011

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PART A - BIOGRAPHICAL INFORMATION

1. NAME: Lisa Oudens Monaco
2. DATE AND PLACE OF BIRTH: February 25, 1968; Boston, Massachusetts
3. MARITAL STATUS: Single
4. SPOUSE'S NAME: N/A
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: N/A
6. NAMES AND AGES OF CHILDREN: N/A

NAME

AGE

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
Harvard University	9/86 - 6/90	A.B.	June 1990
University of Chicago Law School	9/94-6/97	J.D.	June 1997

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
U. S. Dept. of Justice	Principal Associate Deputy Attorney General (Acting, 2/10-1/11)	Washington, DC	2/10-present
	Associate Deputy Attorney General	Washington, DC	1/09-2/10

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
Federal Bureau of Investigation	Chief of Staff	Washington, DC	9/07-1/09
	Deputy Chief of Staff and Counselor	Washington, DC	4/07-9/07
	Special Counsel to the Director	Washington, DC	1/06-4/07(detail)
Enron Task Force U.S Department of Justice	Assistant U.S. Attorney	Washington, DC & Houston, TX	5/04-1/06(detail)
U.S. Attorney's Office for the District of Columbia	Assistant U.S. Attorney	Washington, DC	1/01-4/07
U. S. Department Of Justice	Counsel to the Attorney General	Washington, DC	11/98-1/01
Honorable Jane R. Roth United States Court of Appeals for the Third Circuit	Law Clerk	Wilmington, DE	9/97-7/98
Hogan and Hartson, LLP	Summer Associate	Washington, DC	6/96-9/96
White House Counsel's Office	Intern	Washington, DC	7/96-8/96
U.S. Department of Justice	Intern	Washington, DC	7/95-9/95
Honorable Wendell P. Gardner Superior Court of the District of Columbia	Intern	Washington, DC	6/95-7/95
U.S Senate Committee on the Judiciary	Research Coordinator	Washington, DC	7/92-9/94
Health Care Advisory Board	Senior Associate	Washington, DC	6/91-6/92
The Wilson Quarterly Woodrow Wilson International Center for Scholars Smithsonian Institution	Research Assistant	Washington, DC	7/90-691

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

See response to question 8.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

Throughout the positions I have held at the Department of Justice, first as a line prosecutor and later during my time at the FBI, I have gained national security experience from both an operational and prosecutorial perspective. Since 2006, I have devoted the vast majority of my time to working on national security issues, first at the FBI and later at the Deputy Attorney General's Office. At the FBI, I provided advice and guidance to Director Mueller on a range of national security matters and worked with the FBI's leadership team to develop the FBI's National Security Branch and to further the integration of intelligence across all facets of that organization. I helped manage the Bureau's national security assets and worked to advance the FBI's transformation from a law enforcement agency to a national security organization focused on preventing terrorist attacks. Among other things, I gained an understanding of and appreciation for, the FBI's national security program and operations, the Bureau's role as an element of the intelligence community, and the importance of FISA as an intelligence collection tool from which the whole intelligence community benefits. In the Deputy Attorney General's Office, I have helped to supervise the national security functions of the Department, including the NSD, U.S. Attorneys Offices, the FBI and components of the DEA. In my time at the FBI and the Justice Department I have worked with partners in the intelligence community and in the interagency process and have developed an understanding of the national security architecture of the federal government. As a result of all these experiences, I have gained broader understanding of the range and complexity of national security issues confronting the Department's components and United States Attorneys Offices as well as the importance of striking the appropriate balance of intelligence community equities, legal requirements and prosecutorial interests.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

Editor-in-Chief, University of Chicago Law School Roundtable, September 1996-June 1997
 Attorney General's Award for Exceptional Service, September 12, 2006
 Department of Justice Special Achievement Awards, September 2002; August 2003; December 2005
 Elizabeth Cary Agassiz Certificate of Merit, Harvard College

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
New York Bar	Member	April 14, 1998 - present
Edward Bennett Williams Inn of Court	Associate-at-Large	2002 to present
Washington Sports Club	No office held	1990 (est.) - present

I may have had a brief membership to the American Bar Association in approximately 2007 (no office held). I may have had a free membership in the Harvard Club shortly after graduation from college. In addition, I may have briefly been a member of the Harvard Club of Washington, D.C. when I moved here in 1990. I could not locate any records of those memberships.

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT OR TRANSCRIPT.) IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, OR TRANSCRIPT?

I have done my best to identify all books, articles, reports, letters to the editor, editorials and other published material, including through a review of my personal files and searches of electronic databases. I have also done my best to identify speeches or talks I have given by reviewing my calendars, personal files and publicly available electronic databases, although there may be some I do not recall that I have not been able to find. Despite my searches, there may be other materials I have been unable to identify, find or remember. I have located the following:

Give the People What They Want: The Failure of Responsive Lawmaking, The University of Chicago Law School Roundtable, Vol. 3, No. 2 (1996). (Law School note)

Seminar on Domestic Preparedness, Harvard University. In October, 2000, I spoke to a small seminar at the John F. Kennedy School of Government. No transcript is available.

Edward Bennett Williams Inn of Court, "Let's Make a Deal – How to deal with the prosecution in white collar cases." I was part of a panel discussion. October 17, 2002. No notes or transcript available.

American Bar Association, Young National Security Lawyers. I was part of a panel discussion on the "law of leaks" and media leak legislation. I cannot recall the precise date but believe it was in the spring of 2004. No notes or transcript available.

Edward Bennett Williams Inn of Court, Electronic Evidence. I was a panelist for a discussion of electronic evidence in criminal prosecutions. I cannot recall the precise date but I believe this was in the spring of 2004. No notes or transcript available.

Federal Bureau of Investigation, All Employee Conference, Indianapolis, Indiana Field Office of the FBI. I gave a presentation to assembled agents and employees on the investigation and subsequent prosecutions arising from the collapse of the Enron Corporation. November 2, 2006.

American Bar Association Annual Meeting, Media, Privacy and Defamation Law Committee Litigation Committee, San Francisco, California. I was part of a panel discussion about the FBI and balancing national security and civil liberties. August 11, 2007.

KWA Women's Speakers Series, The Belmont Hill School, Belmont, Massachusetts. I spoke about the FBI after 9/11. November 8, 2007.

National Security Fellows Program, John F. Kennedy School of Government, Harvard University, Cambridge, Massachusetts. I was a guest speaker for a meeting of government officials participating in the Kennedy School's National Security fellows program. November 9, 2007.

American Bar Association, Litigation Section Leadership Meeting, San Diego, California. I was part of a panel discussion on national security issues. January 2008. No notes or transcript are available. My recollection is that I addressed topics similar to those listed above regarding the FBI's role as an intelligence-focused national security organization.

Securities Enforcement Coordination Conference, Securities and Exchange Commission. I was a panelist with other prosecutors and SEC lawyers to discuss parallel proceedings in white collar cases. March 11, 2008.

YWCA, Women in Power Speakers Series, Palm Beach, Florida. I spoke about women in careers in law and national security. April 22, 2008.

Women in International Regulatory Law Symposium, "Challenges in International Security," I was part of a panel discussion regarding international security issues. June 18, 2008.

American Bar Association, Criminal Justice Section, Third Annual National Institute on Securities Fraud, "Subprime Meltdown: Reactions and Actions by the SEC and DOJ." Arlington, Virginia. I was part of a panel discussion on the Department's response to the subprime meltdown. October 2, 2008.

American University, International Studies Course, Washington, D.C. I was a guest speaker for a college-level international studies course. October 28, 2008.

Women's White Collar Bar Luncheon, Patton Boggs, LLP, Washington, DC. I gave brief remarks and fielded questions about the Department's priorities. January 26, 2010.

Edward Bennett Williams Inn of Court, Lessons from Guantanamo. I introduced the panelists. February 18, 2010.

Fordham Law School, Evidence Course, New York, New York. I was a guest speaker along with the General Counsel of the FBI at a first-year evidence class. We fielded questions about national security issues and careers in the Department of Justice and the Federal Bureau of Investigation. April 19, 2010.

Practicing Law Institute, Enforcement 2010: Multi-Agency Enforcement Efforts in the New Decade, New York, New York. I was part of a panel discussion about dealing with enforcement agencies. June 1, 2010. A webcast of this panel is available at: <http://www.pli.edu/Content.aspx?dsNav=Ny:True,Ro:0,N:4294963167-167&ID=72109>

Georgetown Law School, Legislation Course, September 21, 2010. I was a guest speaker regarding my experiences working in different branches of government.

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

If I am confirmed, I will bring a combination of prosecutorial and intelligence experience to the position of Assistant Attorney General for National Security. At the FBI and the Department of Justice, I have developed expertise in the area of national security by working on intelligence investigations, national security-related and other criminal investigations and prosecutions, and other legal, operational and policy challenges relating to the Department's national security mission. During my tenure at the FBI, I gained firsthand experience working within the Intelligence Community to understand the role that effective and coordinated intelligence operations play in safeguarding our nation's security. As a lawyer as well as a national security official, I have a keen appreciation of the significant threats we face as a nation and the importance of effectively addressing those challenges in a manner that promotes the nation's security while also preserving our fundamental rights and liberties.

My experiences at the FBI and the Justice Department have taught me the value of congressional oversight in advancing national security activities while exercising oversight of those activities. In my career working with agents, analysts and lawyers across the government I have developed an appreciation of the challenges confronting national security professionals and prosecutors as they pursue their mission of developing intelligence, sharing information, and working together to disrupt national security threats and protect the nation. My experience has also given me an understanding of the importance of protecting intelligence sources and methods.

I understand the importance of using all tools in order to combat the national security threats we face and of doing so consistent with statute, executive order, relevant regulations, and the Constitution. Drawing on my experience as a prosecutor as well as the perspective I have gained at the FBI and with the Department of Justice working on the operational aspects of national security investigations, I will exercise independent judgment in managing the Department's national security functions while ensuring that the Division's activities are properly coordinated with the nation's other national security activities when appropriate. I will do the same in providing advice to and advancing partnerships with the Division's partners within the intelligence community and in working cooperatively with congressional oversight committees.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

I have never held any office related to a political party, committee or candidate. In July 2004, I contributed \$250 to the presidential campaign of Senator John Kerry. In October 2004, I contributed \$250 to the Congressional campaign of Jon P. Jennings.

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

I have never been a candidate for elective office.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

I have not engaged in lobbying activity outside my duties as a government employee.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

I am not aware of any employment, relationship, transaction, investment, association or activity that could create, or appear to create, a conflict of interest.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

N/A. I am currently employed by the United States Government.

I serve as the trustee or trust protector of trusts established for the benefit of a niece and nephew and one for the benefit of a friend's children. I do not have a vested interest in any of those trusts, and my role will cease if I am confirmed as Assistant Attorney General.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

N/A. I am currently employed by the United States Government.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT

SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I am currently a government employee and have been for the entirety of my legal career. I have not formulated any plans for employment upon the completion of my government service. I have no agreements or understandings, written or unwritten, concerning employment after my government service.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

I have occasionally received calls from head hunters, however, I have not engaged in any discussion with regard to specific employment opportunities after leaving government service.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

N/A.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
[Information	Trustee	06/06-present	Self
Redacted]	Trustee	1/99-present	Self
	Trustee	12/04-present	Self
	Trust Protector	2/07-present	Self

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

In 2008, my family threw a 40th Birthday for me and invited numerous friends and family members. Some of the gifts I received may have exceeded \$100. None of the gifts were given to me as a result of my official position.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT

ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

DESCRIPTION OF PROPERTY VALUE METHOD OF VALUATION

Please see SF-278

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

NATURE OF OBLIGATION NAME OF OBLIGEE AMOUNT

Please see SF-278

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

I am not in default on any loan, debt or other financial obligation nor have I ever been to my knowledge. I have never been refused credit or had a loan application denied.

In 2004, I became aware of a number of unpaid parking tickets within the city of Chicago. As soon as I learned of these outstanding tickets I paid them in full.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

2010 2009 2008 2007 2006

[INFORMATION REDACTED]

[INFORMATION REDACTED]

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

I file a federal tax return and a District of Columbia tax return.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

With the exception of my work as a summer associate in 1996, I have not engaged in the private practice of law.

I am a member of the New York Bar.

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No. My financial holdings consist of ownership of the condominium in which I reside, a diversified mutual fund and IRA account, a retirement account, and cash on hand. I serve as the trustee or trust protector of trusts created for the benefit of a niece and nephew and one trust for the benefit of a friend's children. I do not have a vested interest in any of those trusts.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Justice's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's Designated Agency Ethics Official.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

2010; 2009; 2008. Yes, I will provide these reports upon request.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL, STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

During my time both at the FBI and the Department, I have been asked by the Department of Justice Office of Inspector General (OIG) to provide information related to my job responsibilities in connection with audits and reviews conducted by that Office. I was interviewed in connection with an investigation conducted by the OIG regarding the disclosure to the media of grand jury information because I was among those who knew the information that was disclosed. I have no reason to believe I was the subject of this investigation and was not contacted again about the matter.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

No. As noted in response to question 42 above, I have been interviewed by the Office of the Inspector General (OIG) but have no reason to believe that I have been the subject of any OIG investigation.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes. Prior to my service as a detailee at the Federal Bureau of Investigation I was required to take a polygraph for access to classified information. Prior to becoming a full-time employee at the Federal Bureau of Investigation I was required to take a polygraph as part of the hiring process.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE ASSISTANT ATTORNEY GENERAL FOR NATIONAL SECURITY OF THE DEPARTMENT OF JUSTICE AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

Appropriate oversight is essential to the effective conduct of intelligence activities. The obligation of the Assistant Attorney General for National Security is to assist the Committee in carrying out its legitimate oversight duties and to foster a cooperative relationship with the intelligence community and oversight committees.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE ASSISTANT ATTORNEY GENERAL FOR NATIONAL SECURITY OF THE DEPARTMENT OF JUSTICE.

Congress had the foresight to create the National Security Division (NSD) to ensure greater coordination and unity of purpose between prosecutors and law enforcement agencies and intelligence attorneys and the intelligence community in order to strengthen the effectiveness of the Department's national security efforts. The responsibility of the Assistant Attorney General for National Security (AAG) is to further that mission and to lead the NSD in carrying out the functions and duties prescribed in the Division's implementing statutes and regulations. These duties include, but are not limited to, oversight and approval (upon designation) of FISA applications, representing the Executive Branch before the FISA Court, coordination of the Department's national security investigations and prosecutions and generally to ensure that the Department is carrying forth its national security mission in a coordinated fashion. The AAG serves as the Department's primary liaison to the Director of National Intelligence and assists the Attorney General and the Deputy Attorney General in ensuring that intelligence matters are carried out consistent with the rule of law. The AAG should ensure that the Division is a resource for United States Attorneys, the FBI, and the rest of the intelligence community in order to provide advice, guidance and expertise in carrying out national security operations be they intelligence investigations, operations or prosecutions. The AAG should continue to pursue and advance the partnerships with the FBI and other intelligence community elements begun and established under previous incumbents to ensure that a threat-based, intelligence-driven strategy of addressing national security threats continues to be a priority. The AAG should ensure that the Department is carrying out its national security functions consistent with statute, Executive Order, appropriate regulations, and the Constitution.

AFFIRMATION

I, Lisa O. Monaco, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

April 22, 2011
(Date)

[SIGNATURE]
(Name)

[SIGNATURE]
(Notary)

Melanie L. Dix
Notary Public, District of Columbia
My Commission Expires 01-01-2013

TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Assistant Attorney General for National Security of the Department of Justice, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

[SIGNATURE]

Signature

Date: April 22, 2011